

RECEIPT

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Assistant Commissioner for Patents Washington, D.C. 20231  
on 03/18/02

PATENT  
Attorney Docket No.: 017534-000730US

By:

  
Brad J. Loos

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Rodney A. Perkins et al.

Application No.: 10/017,068

Filed: December 13, 2001

For: METHODS, SYSTEMS, AND KITS  
FOR LUNG VOLUME REDUCTION

Examiner: Unassigned

Art Unit: 3763

REQUEST FOR CORRECTED FILING  
RECEIPT

RECEIVED  
MAR 15 2002  
TECHNICAL ROOM

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

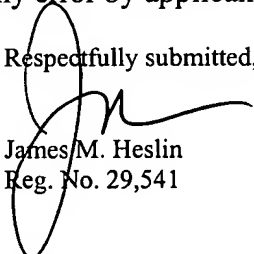
There is an error in that the Domestic Priority data as claimed by applicant should read as follows:

THIS APPLICATION IS A CON OF 09/606,320 06/28/2000

WHICH IS A CIP OF 09/347,032 07/02/1999 PAT 6,287,290

The correction is not due to any error by applicant and no fee is due.

Respectfully submitted,

  
James M. Heslin  
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JTH

017534 006730US

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/017,068	12/13/2001	3763	370	017534-000730US	16	5	2

CONFIRMATION NO. 7372

20350  
TOWNSEND AND TOWNSEND AND CREW, LLP  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834

## FILING RECEIPT



\*OC000000007252120\*

**COPY**

Date Mailed: 01/02/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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Peter P. Soltesz, San Jose, CA; ✓  
Robert Kotmel, Burlingame, CA; ✓

## Assignment For Published Patent Application

PULMONX, Palo Alto, CA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/606,320 06/28/2000  
WHICH IS A CIP OF 09/347,032 07/02/1999 PAT 6,287,290  
~~WHICH IS A DIV OF 09/379,972 08/24/1999 PAT 6,293,951~~

## Foreign Applications

If Required, Foreign Filing License Granted 01/02/2002

Projected Publication Date: 04/11/2002

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

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**Title**

Methods, systems, and kits for lung volume reduction

**Preliminary Class**

604

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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Bib Data Sheet

CONFIRMATION NO. 7372

<b>SERIAL NUMBER</b> 10/017,068	<b>FILING DATE</b> 12/13/2001 <b>RULE</b>	<b>CLASS</b> 604	<b>GROUP ART UNIT</b> 3763	<b>ATTORNEY DOCKET NO.</b> 017534-000730US	
<b>APPLICANTS</b> Rodney A. Perkins, Woodside, CA; Peter P. Soltesz, San Jose, CA; Robert Kotmel, Burlingame, CA;					
<b>** CONTINUING DATA *****</b> THIS APPLICATION IS A CON OF 09/606,320 06/28/2000 WHICH IS A CIP OF 09/347,032 07/02/1999 PAT 6,287,290					
<b>** FOREIGN APPLICATIONS *****</b>					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **</b> ** 01/02/2002					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature Initials		<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 16	<b>TOTAL CLAIMS</b> 5	<b>INDEPENDENT CLAIMS</b> 2
<b>ADDRESS</b> 20350					
<b>TITLE</b> Methods, systems, and kits for lung volume reduction					
<b>FILING FEE RECEIVED</b> 370	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		